



1712

PATENT--NO FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

ANDREAS POPP ET AL.

Serial No.: 10/516,702

Filed: December 2, 2004

For: (METH) ACRYLIC ESTERS OF  
POLYALKOXYLATED GLYCERINE

Attorney Docket No. 29827/40663

Group Art Unit: 1712

Examiner: Unassigned

) I hereby certify that this  
paper is being deposited  
with the United States  
Postal Service with  
sufficient postage, as  
first class mail, in an  
envelope addressed to:  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Dated: May 17, 2005  
  
\_\_\_\_\_  
James J. Napoli  
Registration No. 32,361  
Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents and a publication that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO-1449 listing the possibly pertinent patent documents and publication, and a copy of each non-U.S. document and the publication.

This Information Disclosure Statement is submitted more than three months after the filing date of

the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

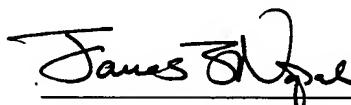
The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

We also enclose a copy of a PCT Search Report for the convenience of the examiner.

Respectfully submitted,

**MARSHALL, GERSTEIN & BORUN LLP**

By



James J. Napoli  
(Registration No. 32,361)  
Attorneys for Applicants  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606  
(312) 474-6300

Chicago, Illinois  
May 17, 2005



|   |   |    |   |                          |                  |
|---|---|----|---|--------------------------|------------------|
| Form 1449PTO  |   |    |   | <i>Complete if Known</i> |                  |
|   |   |    |   | Application Number       | 10/516,702       |
|   |   |    |   | Filing Date              | December 2, 2004 |
|   |   |    |   | First Named Inventor     | Popp et al.      |
|   |   |    |   | Group Art Unit           | 1712             |
|   |   |    |   | Examiner Name            | Unassigned       |
| Sheet   | 1 | of | 2 | Attorney Docket Number   |                  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br><i>(use as many sheets as necessary)</i> |   |    |   |                          |                  |

| U.S. PATENT DOCUMENTS |          |                 |                                |
|-----------------------|----------|-----------------|--------------------------------|
| Examiner Initials*    | Cite No. | Document Number | Publication Date<br>MM-DD-YYYY |
|                       |          | 3,380,831       | 04/30/1968                     |
|                       |          | 4,187,383       | 02/05/1980                     |
|                       |          | 4,873,299       | 10/10/1989                     |
|                       |          | 5,356,754       | 10/18/1994                     |
|                       |          | 5,472,617       | 12/05/1995                     |
|                       |          | 5,472,617       | 12/05/1995                     |
|                       |          | 5,482,649       | 01/09/1996                     |
|                       |          | 5,506,324       | 04/09/1996                     |
|                       |          | 5,648,518       | 07/15/1997                     |
|                       |          | 5,661,220       | 08/26/1997                     |
|                       |          | 5,837,789       | 11/17/1998                     |
|                       |          | 6,395,830       | 05/28/2002                     |
|                       |          | 6,673,885       | 01/06/2004                     |

| FOREIGN PATENT DOCUMENTS |          |                         |                                |
|--------------------------|----------|-------------------------|--------------------------------|
| Examiner Initials*       | Cite No. | Foreign Patent Document | Publication Date<br>MM-DD-YYYY |
|                          |          | 0 238 050 (EPO)         | 10/10/1989                     |
|                          |          | 0 264 841 (EPO)         | 12/05/1995                     |
|                          |          | 0 777 287 (EPO)         | 06/04/1997                     |
|                          |          | 0 923 147 (EPO)         | 06/16/1999                     |
|                          |          | WO 93/21237 (PCT)       | 10/28/1993                     |
|                          |          | WO 98/47951 (PCT)       | 10/29/1998                     |
|                          |          | WO 00/44734 (PCT)       | 08/03/2000                     |
|                          |          | WO 01/10920 (PCT)       | 02/15/2001                     |
|                          |          | WO 01/14438 (PCT)       | 03/01/2001                     |
|                          |          | WO 01/41818 (PCT)       | 06/14/2001                     |
|                          |          | WO 01/45758 (PCT)       | 06/28/2001                     |
|                          |          | WO 01/56625 (PCT)       | 08/09/2001                     |
|                          |          | 100 54 085 (DE)         | 05/16/2002                     |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|                                   |   |    |   |                          |                  |
|-----------------------------------|---|----|---|--------------------------|------------------|
| Form 1449PTO                      |   |    |   | <b>Complete if Known</b> |                  |
| INFORMATION DISCLOSURE            |   |    |   |                          |                  |
| STATEMENT BY APPLICANT            |   |    |   |                          |                  |
| (use as many sheets as necessary) |   |    |   |                          |                  |
| Sheet                             | 2 | of | 2 |                          |                  |
|                                   |   |    |   | Application Number       | 10/516,702       |
|                                   |   |    |   | Filing Date              | December 2, 2004 |
|                                   |   |    |   | First Named Inventor     | Popp et al.      |
|                                   |   |    |   | Group Art Unit           | 1712             |
|                                   |   |    |   | Examiner Name            | Unassigned       |
|                                   |   |    |   | Attorney Docket Number   | 29827/40663      |

| <b>OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS</b> |          |  |
|---|----------|--|
| Examiner Initials                                       | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial; symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|   |          | H.C. Miller, <i>Radtech</i> , 91, 321-324 (1991).  |
|   |          |  |
|   |          |  |
|   |          |  |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.